

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

016887/1026

In re patent application of

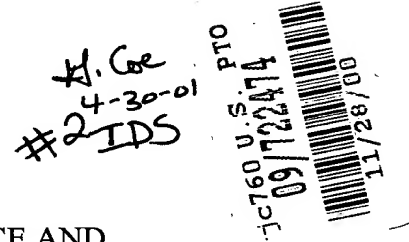
Tomoharu TANAKA et al.

Serial No. Unassigned

Group Art Unit: Unassigned

Filed: Concurrently herewith

Examiner: Unassigned



For: NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND  
MEMORY SYSTEM USING THE SAME

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT

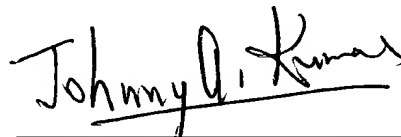
Applicants are submitting herewith on Form PTO-1449, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/283,583, filed April 1, 1999. The relevance of these documents are explained in the parent application.

As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/283,583 filed April 1, 1999. Since this Information Disclosure Statement is being filed in compliance with 37 C.F.R. §1.97(b) within three (3) months of the filing date, no fee is required in connection with its filing.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

November 28, 2000



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